



SMS Lifesciences India Limited

Registered & Corporate Office :

Plot No. 19-III, Road No. 71,
Opp. Bharatiya Vidya Bhavan Public School,
Jubilee Hills, Hyderabad - 500 096, Telangana, INDIA.
Tel : +91-040-6628 8888, Fax : +91-40-2355 1401
CIN : L74930TG2006PLC050223
Email : info@smslife.in, Website : www.smslife.in

November 9, 2020

To

BSE Limited,

Listing Department, P J Towers,
Dalal Street,
Mumbai – 400 001.

Scrip code: 540679

Through: BSE Listing Center

National Stock Exchange of India Limited,

Listing Department, "Exchange Plaza",
Bandra-Kurla Complex, Bandra (E),
Mumbai - 400 051.

Trading Symbol: SMSLIFE

Through: NEAPS

SUB: REPORTING OF VIOLATION RELATED TO INSIDER TRADING CODE

**Ref: Clause 13 of Schedule B & Regulation 9 of the SEBI (Prohibition of Insider Trading) Regulations
2015 read along with SEBI Circular no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.**

Dear Sir/Madam,

With reference to above cited subject, please find enclosed herewith a Report of violation related to Insider Trading Code and Code of Conduct adopted by the Company, by the designated persons including appropriate action taken after recording reasons in writing, by the Company against the designated person.

Kindly take the same on record and suitably disseminate to all concerned

Thanking You,

Yours Truly,

For SMS Lifesciences India Limited


Trupti Ranjan Mohanty
Company Secretary

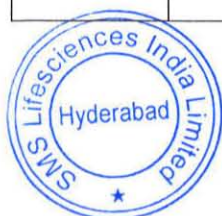


[Enclosed: Report on PIT violation]

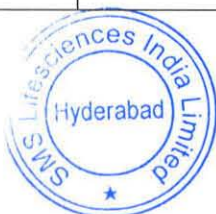
**REPORT BY SMS LIFESCIENCES INDIA LIMITED FOR VIOLATIONS RELATED TO CODE OF CONDUCT
UNDER SEBI (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015.**

[Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulation, 2015]

Sr no.	Particulars	Details						
1.	Name of the listed company	SMS Lifesciences India Limited						
2.	<p>Please tick appropriate checkbox</p> <p>Reporting in capacity of :</p> <table border="1"> <tr> <td><input checked="" type="checkbox"/></td> <td>Listed Company</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Intermediary</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Fiduciary</td> </tr> </table>	<input checked="" type="checkbox"/>	Listed Company	<input type="checkbox"/>	Intermediary	<input type="checkbox"/>	Fiduciary	Listed Company
<input checked="" type="checkbox"/>	Listed Company							
<input type="checkbox"/>	Intermediary							
<input type="checkbox"/>	Fiduciary							
3.	<p>A. Details of Designated Person (DP)</p> <p>i) Name of the DP</p> <p>ii) PAN of the DP</p> <p>iii) Designation of DP</p> <p>iv) Functional Role of DP</p> <p>v) Whether DP is Promoter or belongs to Promoter Group</p> <p>B. If Reporting is for immediate relative of DP</p> <p>i) Name of the immediate relative of DP</p> <p>ii) PAN of the immediate relative of DP</p> <p>C. Details of transaction(s)</p> <p>i) Name of the scrip</p> <p>ii) No of shares traded and value (Rs.)</p> <p>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</p> <p>i) Date of intimation of trade(s) by concerned DP/ director/ promoter/ promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015</p>	<p>Mr. Hari Kishore Potluri</p> <p>AEJPP6013K</p> <p>Promoter Group</p> <p>Not Applicable</p> <p>Yes</p> <p>Not Applicable</p> <p>Not Applicable</p> <p>SMS Lifesciences India Limited</p> <p>Date: October 29, 2020</p> <p>No of shares: 500 (five Hundred) -Buy</p> <p>Value: Rs. 3,02,032.87/-</p> <p>(Rupees Three lakhs Two thousand and Thirty two and Eighty Seven paisa only)</p> <p>Not Applicable</p>						



	ii) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable
4.	Details of violations observed under Code of Conduct	Transacted during 'Trading window closure' period.
5.	Action taken by Listed company	<p>Cautionary letter has been issued to cease and desist from trading during trading window closure period and adhere to all the provisions of the Insider Trading Code.</p> <p>He has been further advised that in case of any subsequent violation stricter action(s) would be taken by the Company.</p>
6.	Reasons recorded in writing for taking action stated above	<p>Based on our findings and the response received from the DP, it was noted that DP was not aware that he was part of the Promoter Group of the Company as pursuant to demerger held in the year 2017 he was reclassified to public Category in the demerged company (i.e. SMS Pharma). Hence he was under the impression that he has de-facto ceased from the promoter group of the resulting Company (i.e. SMS Lifesciences). Hence, the trading during the window closure was done due to over-sightedness and without any malafide intension.</p>



		<p>Considering the aforesaid facts coupled with this being 1st such instance of violation and also DP was not in possession of any UPSI, Company has only issued a cautionary letter.</p> <p>Further, the DP has undertaken to be extra-cautious while executing transactions in future and ensure compliance of Code of Conduct and SEBI (Prohibition of Insider Trading) Regulations, 2015.</p>
7.	Details of the previous instances of violations, if any, since last financial year	Nil
8.	If any amount collected for Code of Conduct violation(s)	Nil
9.	Any other relevant information	Nil

Yours Truly,

For SMS Lifesciences India Limited

Trupti Ranjan Mohanty

Company Secretary & Compliance officer



PAN : ATMPM2452P
Email id : cs@smslife.in
Date : November 9, 2020
Place : Hyderabad